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Case Docket No.: CORE-84

09/28/01  
J1040 U.S. PTO  
09/966502  
09/28/01

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09/28/01

Assistant Commissioner For Patents  
Washington, D.C. 20231

Transmitted herewith for filing is the patent application of:

Inventor: Peidong Wang et al.  
For: TUNABLE FABRY-PEROT FILTER AND TUNABLE VERTICAL CAVITY  
SURFACE EMITTING LASER

Enclosed are:

- ☒ 15 sheets of drawings.  
☐ An assignment of the invention to: \_\_\_\_\_  
☐ A verified statement to establish small entity status.  
☐ \_\_\_\_\_

The filing fee has been calculated as shown below:

For:	No. Filed	No. Extra	Small Entity		Large Entity	
			Rate	Fee	Rate	Fee
Basic Fee				\$355.00		\$710.00
Total Claims	16 - 20	0	x \$ 9.00	0	x \$18.00	
Ind. Claims	4 - 3	1	x \$40.00		x \$ 80.00	80.00
Mult. Claims	0		+ \$135.00		+ \$270.00	
				Total \$790.00		

- ☐ Please charge my Deposit Account No. 16-0221 to cover the filing fee and assignment recording fee. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$790.00 to cover the filing fee (and assignment recording fee) is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-0221. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-0221. A duplicate copy of this sheet is enclosed.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

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PS/CORE84.FIL

CORE-84

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Peidong Wang et al.  
For: TUNABLE FABRY-PEROT FILTER AND  
TUNABLE VERTICAL CAVITY SURFACE  
EMITTING LASER  
Attorney's Docket No.: CORE-84

Date: September 28, 2001

Box Patent Application  
Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

FILING OF PATENT APPLICATION UNDER 37 CFR 1.10

The attached patent application is being filed under the provisions of 37 CFR 1.10.

Applicant's attorney is also submitting the requisite fee on the basis of Large Entity status, as calculated on the attached transmittal letter.

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SEPTEMBER 28, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

MARK J. PANDISCIO

(PERSON MAILING)

Mark J. Pandiscio 9/28/01

PS/CORE84

(SIGNATURE)

Respectfully submitted,

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